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Paper No. 6

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OFFICE OF PETITIONS

In re Application of:
James Birdle, Jr., Jeffrey Edwards, :
Yonathan Epelbaum, Frederick J. :
Fiddle, Emerson P. Jones, Stuart C. :
Kaperst, Todd K. Kaplan, Daniel Y. :
Kerstein, Richard P. Luciano, :
Thomas H. Patrick, Jr., Paul A. :
Pepe, Eric Steifman, Russell L. :
Stein, Brennan J. Warble and :
Richard J. Green :
Application No. 10/064,744 :
Filed: August 12, 2002 :
Title of Invention: CONTINGENT :
CONVERTIBLE FINANCIAL INSTRUMENTS :

DECISION GRANTING
STATUS
UNDER 37 CFR 1.47(a)

This is in response to the Petition Under 37 C.F.R. 1.47(a), filed January 30, 2003, to allow the other inventor(s) to proceed with the application on behalf of himself or herself and the nonsigning inventor.

The petition is granted.

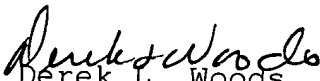
The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

Petitioner has shown that the non-signing inventor, Emerson P. Jones, refuses to sign the declaration.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Petition. Notice of the filing of this application will also be published in the Official Gazette.

The application file is being forwarded to the Office of Initial Patent Examination for continued processing.

Telephone inquiries related to this decision may be directed to the undersigned at (703) 305-0014.


Derek L. Woods
Petitions Attorney
Office of Petitions